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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**OFFICIAL**

| | | |
|--------------|-----------------|------------------------|
| Appl. No. | : 09/765,226 | Confirmation No.: 7377 |
| Applicants | : LIU et al. | |
| Filed | : 01/18/2001 | |
| TC/A.U. | : 2828 | |
| Examiner | : Tuan N Nguyen | |
| Docket No. | : 101215-55 | |
| Customer No. | : | |

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PRELIMINARY AMENDMENT FOR RCE

Sir:

Prior to consideration of the application on the merits, please amend the above-identified application as indicated below.

NORRIS, MC LAUGHLIN & MARCUS
A PROFESSIONAL CORPORATION

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30TH FLOOR
New York, NY 10017
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FACSIMILE COVER SHEET

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TO: United States Patent and
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TELEFAX: 703-872-9306

COMPANY/FIRM: Norris McLaughlin & Marcus

FROM: Olimpia for Christa Hildebrand

ATTORNEY DOCKET NO.: 101215-55

DATE: July 15, 2004

MESSAGE:

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office on the date shown below:

- Request for Continued Examination (1 Sheet)
- Petition for Extension of Time (1 Sheet)
- Fee Transmittal (1 sheet) – **CHARGE DEPOSIT ACCOUNT 14-1263**
- Preliminary Amendment for RCE (7 Pages)

By Olimpia J. Hildebrand

Date 7/15/2004

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PTO/SB/17 (10-03)

Approved for use through 07/31/2008. OMB 0851-0032
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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1,720.00)

Complete if Known

| | |
|----------------------|--------------------|
| Application Number | 09/765,226 |
| Filing Date | January 16, 2001 |
| First Named Inventor | Baining LIU et al. |
| Examiner Name | Tuan NGUYEN |
| Art Unit | 2828 |
| Attorney Docket No. | 101215-55 |

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None Deposit Account:

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The Director is authorized to: (check all that apply)

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FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---|---------------|--|----------|
| 1051 130 | 2051 86 | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 1053 130 | Non - English specification | |
| 1812 2,520 | 1812 2,520 | For filing a request for ex parte reexamination | |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 110 | 2251 55 | Extension for reply within first month | |
| 1252 420 | 2252 210 | Extension for reply within second month | |
| 1253 950 | 2253 475 | Extension for reply within third month | 950.00 |
| 1254 1,480 | 2254 740 | Extension for reply within fourth month | |
| 1255 2,010 | 2255 1,005 | Extension for reply within fifth month | |
| 1401 330 | 2401 165 | Notice of Appeal | |
| 1402 330 | 2402 165 | Filing a brief in support of an appeal | |
| 1403 290 | 2403 145 | Request for oral hearing | |
| 1451 1,510 | 1451 1,510 | Petition to institute a public use proceeding | |
| 1452 110 | 2452 55 | Petition to revive - unavoidable | |
| 1453 1,330 | 2453 665 | Petition to revive - unintentional | |
| 1501 1,330 | 2501 665 | Utility issue fee (or reissue) | |
| 1502 480 | 2502 240 | Design issue fee | |
| 1503 640 | 2503 320 | Plant issue fee | |
| Total Claims -20** = 0 | X 0 = 0.00 | | |
| Independent Claims - 3** = 0 | X 0 = 0.00 | | |
| Multiple Dependent | | | |
| Large Entity Small Entity | | | |
| Fee Code (\$) | Fee Code (\$) | Fee Description | |
| 1202 16 | 2202 9 | Claims in excess of 20 | |
| 1201 86 | 2201 43 | Independent claims in excess of 3 | |
| 1203 290 | 2203 145 | Multiple dependent claim, if not paid | |
| 1204 86 | 2204 43 | ** Reissue independent claims over original patent | |
| 1205 16 | 2205 9 | ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) (\$) | \$0.00 | | |

**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$)** **\$1,720.00**

| SUBMITTED BY | | Complete if applicable | | |
|-------------------|--------------------|-----------------------------------|---------------|------------------------|
| Name (Print/Type) | Christa Hildebrand | Registration No. (Attorney/Agent) | 34,963 | Telephone 212-804-0070 |
| Signature | Christa Hildebrand | Date | July 15, 2004 | |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.